

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO  
NEOMTRX 4C1DV3APPLICATION NO  
10 072.539INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

USE SEVERAL SHEETS IF NECESSARY

APPLICANT  
Morton, K. et alFILING DATE  
February 8, 2002GROUP  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
LAD	1	US2002/0086341	7/4/2002	Nguyen, M	435	7.23	7/9/2001
LAD	2	US2002/0072702	6/13/2002	Quay, S	604	74	11/13/2001
LAD	3	US2002/0062103	5/23/2002	Larsson, et al	604	74	1/22/2002
LAD	4	US2002/0058887	5/16/2002	Soito, et al	600	573	6/30/2001
LAD	5	US2002/0045162	4/18/2002	Hung, D	435	5	8/8/2001
LAD	6	US2002/0037265	3/28/2002	Hung, et al	424	70.1	6/8/2001
LAD	7	US2002/0019017	2/14/2002	Love, et al	435	7.23	4/28/1999
LAD	8	US1001/0047148	11/29/2001	Sun, K	604	74	4/10/2001
LAD	9	US1001/0001059	5/10/2001	Love, S	435	7.1	12/19/2000
LAD	10	6,413,228	7/2/2002	Hung, et al	600	562	12/28/1999
LAD	11	6,398,765	6/4/2002	Hung, D	604	284	2/29/2000
LAD	12	6,391,026	5/21/2002	Hung, et al	606	41	7/16/99
LAD	13	6,383,164	5/7/2002	Johansen, et al	604	74	9/26/2000
LAD	14	6,383,163	5/7/2002	Kelly, et al	604	74	5/4/99
LAD	15	6,379,327	4/30/2002	Lundy, E	604	74	4/25/2001
LAD	16	6,358,226	3/19/2002	Ryan, A	604	74	6/21/2000
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LAD	22	5,883,163	05/07/02	Kelly	604	374	05/04/99

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
LAD	23	WO00/72766	12/7/2000	POT	A61B	...		

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							YES	NO
LAN	24	WO02.38032	5 16 2002	PCT				
LAN	25	WO00.42841	7 27 2000	PCT	A61B			
LAN	26	WO00.70349	11 23 2000	PCT	A01N	00		
LAN	27	WO00.43038	7 27 2000	PCT	G01N	33		
LAN	28	WO00.43553	7 27 2000	PCT	A61K	39		
LAN	29	WO00.39557	7 6 2000	PCT	C12Q	68		
					G01N			

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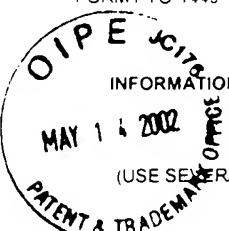
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
RAO	09 488,893	1 21 00	Covington, et al			
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RAO	20010034038 A1	10/25 01	Hung, D.			
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RAO	4,393,811	07/19/83	Bodmin			
RAO	4,452,779	06/05/84	Cockerill			
RAO	4,542,750	09/1985	Ettare			
RAO	4,680,028	07/14/87	Stuart			
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RAO	4,941,433	07 17 90	Hanauer			
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RAO	5,049,126	09 17 91	Larsson			
RAO	5,476,492	12 19 95	Unrug			
RAO	5,482,004	01 09 96	Chowdhury			
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	APPLICANT Morton, et al	
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LAD	5,576,329	11/19/96	Hennessey			
LAD	5,627,034	05/1997	Gould et al.			
LAD	5,645,537	07/08/97	Powles et al.			
LAD	5,664,984	09/09/97	Laughridge			
LAD	5,720,722	02/24/98	Lockridge			
LAD	5,741,649	04/21/98	Inazawa			
LAD	5,776,098	07/07/98	Silver et al.			
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LAD	5,810,796	09/22/98	Kimura et al.			
LAD	5,846,739	12/1998	Gould et al.			
LAD	5,855,889	01/05/99	Watson, et al.			
LAD	5,885,246	03/23/99	Ford			
LAD	5,895,640	04/1999	Khalkhali			
LAD	5,902,267	05/1999	Medo			
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LAD	5,913,686	06/1999	Van Winkle			
LAD	5,914,238	06/1999	Keese et al.			
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LAD	6,004,186	12/21/99	Penny			
LAD	6,004,756	12/21/99	Watson et al.			
LAD	6,063,029	05/16/00	Saita et al.			
LAD	6,110,140	08/29/00	Silver			

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FAO	6,287,521 B1	09/11/01	Quay, et al.			
FAO	6,314,315 B1	11/6/01	Hung, D.			
FAO	6,316,189 B1	11/13/01	Haddad, et al.			
FAO	6,316,215 B1	11/13/01	Adair, et al.			

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FAO	WO 98/22160	05/28/98	PCT			YES NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
FAO	Adami et al., "Absence of association between reproductive variables and the risk of breast cancer in young women in Sweden and Norway." <u>British Journal of Cancer</u> , Vol. 62, pp. 122-126 1990.
FAO	American Cancer Society <u>Cancer facts and figures</u> , 1996.
FAO	American Cancer Society <u>Breast cancer facts and figures</u> , 1996.
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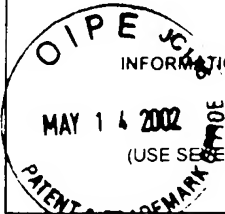
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
hnp	Di Mascio et al., "Antioxidant defense systems: The role of carotenoids, tocopherols, and thiols," <u>American Journal of Clinical Nutrition</u> , Vol. 53, pp. 194-200 1991.
hnp	Ewertz et al., "Dietary factors and breast-cancer risk in Denmark," <u>International Journal of Cancer</u> , Vol. 46, pp. 779-784 1990.
hnp	Gaitan et al., <u>Endocrinology</u> , Sep, S1(3), 515-520, 1967.
hnp	Greiner <u>Pharmaceutical Tech.</u> , May 1993, pp. 28-44 1993.
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hnp	Hill et al., "Retinoids and Cancer Prevention," <u>Annu. Rev. Nutr.</u> Vol. 12, pp. 161-181 1992.
hnp	Hislop et al., "Diet and histologic types of benign breast disease defined by subsequent risk of breast cancer," <u>American Journal of Epidemiology</u> , Vol. 131, pp. 263-270 1990.
hnp	Holmes et al., "Dietary guidelines," In: <u>Reducing breast cancer in women</u> , B. Stoll (Ed.), pp. 135-144 1995.
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hnp	Kvale et al., "Lactation and cancer risk: Is there a relationship specific to breast cancer," <u>Journal of Epidemiology and Community Health</u> , Vol. 42, pp. 30-37 1987.
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hnp	Love, "Introduction" In: <u>Reducing breast cancer risk in women</u> , B. Stoll (Ed.), 1995. <i>Intro only</i>
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hnp	MacMahon et al., "Lactation and cancer of the breast: a summary of an international study," <u>Bulletin of the World Health Organization</u> , Vol. 42, pp. 85 1970.
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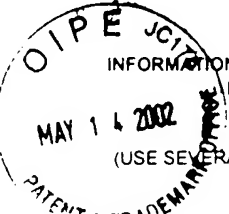
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KAD	McTiernan et al., "Evidence of a protective effort of lactation on risk of breast cancer in young women," <u>American Journal of Epidemiology</u> , 124, 353-358, 1986.
COO	Michnovicz et al., <u>How to reduce your risk of breast cancer</u> , New York: Warner Books 1994.
BAO	Mori et al., <u>Jpn. J. Clin. Oncol.</u> 19: 373-379 1989.
KAD	Murrell, "Epidemiological and biochemical support for a theory on the cause and prevention of breast cancer," <u>Medical Hypotheses</u> , 36, 389-396, 1991.
KAD	Negri et al., "Intake of selected micronutrients and the risk of breast cancer," <u>International Journal of Cancer</u> Vol. 65, pp. 140-144 1995.
KAD	Newcomb et al., "Lactation and a reduced risk of premenopausal breast cancer," <u>New England Journal of Medicine</u> , Vol. 330, pp. 81-87 1994.
KAD	Paganini-Hill et al., "Vitamin A, beta-carotene, and the risk of cancer: A prospective study," <u>Journal of the National Cancer Institute</u> Vol. 79, pp. 443-448 1987.
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KAD	Parl et al., <u>Hum. Pathol.</u> 19: 960-966, 1988.
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KAD	Petrakis et al., "Nipple aspirate fluids in adult non-lactating women-lactose content, cationic Na <sup>+</sup> , K <sup>+</sup> , Na <sup>+</sup> /K <sup>+</sup> ratio, and coloration," <u>Breast Cancer Research and Treatment</u> , Vol. 13, pp. 71-78 1989.
KAD	Petrakis, "Nipple Aspirate Fluid in Epidemiologic Studies of Breast Disease", <u>Epidermiologic Reviews</u> , Vol. 15, No. 1, pp. 188-195 (1993)
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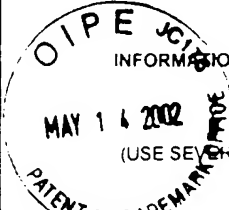
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	Seud et al., <u>J. Reprod Med.</u> , 38(6), 438-442, 1993.
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EXAMINER	DATE CONSIDERED

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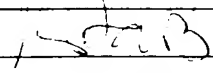
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	"Final Results of Ductal Lavage Study Presented At 23 <sup>rd</sup> Annual San Antonio Breast Cancer Symposium: Pre-malignant and Malignant Cells Detected in Women at High-risk for Breast Cancer"; <a href="http://www.ductallavage.com/Product/Text/San_Antonio_release.html">http://www.ductallavage.com/Product/Text/San_Antonio_release.html</a>
	"Lancet Study Shows Promising New Breast Cancer Research Application Using Ductal Lavage"; <a href="http://www.ductallavage.com/Product/Text/FINALPDHLancetreleased4.26.01.htm">http://www.ductallavage.com/Product/Text/FINALPDHLancetreleased4.26.01.htm</a>
	"Study Demonstrates Ductal Lavage Collects Abnormal Breast Cells That Can Help Assess Breast Cancer Risk"; <a href="http://www.ductallavage.com/Product/Text/acog.htm">http://www.ductallavage.com/Product/Text/acog.htm</a> ; <i>University Physicians Medical Group</i>
	"Study: Ductal Lavage Shows Promise in Breast Cancer Detection"; IHS Health Goup Medical Industry Today.htm

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